



SP01-029

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Li, et al.
Serial No: 10/044,455
Filed: 1/11/2002
For: WAVEGUIDE FIBER FOR DISPERSION
AND SLOPE COMPENSATION

Examiner: Michael P. Mooney
Group Art Unit: 2877

INFORMATION DISCLOSURE STATEMENT
UNDER 37 C.F.R. §§ 1.56, 1.97 – 1.98

Commissioner of Patents
Alexandria, VA 22313-1450

Dear Sir:

The Examiner's attention is hereby directed to the following reference(s) listed on the attached Form PTO-1449 for consideration in connection with the examination of the above-identified patent application. One copy of the reference(s) is enclosed.

This submission does not represent that a search has been made or that no better art exists and does not constitute an admission that each or all of the enclosed documents constitute "prior art." If it should be determined that any of the submitted documents do not constitute "prior art" under United States law, applicant(s) reserve the right to present to the office the relevant facts and law regarding the appropriate status of such documents.

Applicant(s) further reserve the right to take appropriate action to establish the patentability of the disclosed invention over the enclosed references, should one or more of the references be applied against the claims of the present application.

Please charge the fee under 37 C.F.R. § 1.17(p) to Corning Incorporated Deposit Account No. 03-3325. A duplicate of this sheet is enclosed.

Respectfully submitted,

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Date: 2-17-04

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to Commissioner of Patents, Alexandria, VA 22313-1450 on <u>2-17-04</u>	
Date of Deposit	
Randall S. Wayland Name of applicant, assignee, or Registered Representative	
Signature	
<u>2-17-04</u>	
Date of Signature	

FEB 20 2004
U.S. TRADEMARK OFFICE
SEARCHED & SERIALIZED

FORM PTO-1449 (MODIFIED) LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT	ATTORNEY DOCKET NO.		SERIAL NO.
	SP01-029		10/044,455
	APPLICANT LI, et al.		
	FILING DATE 1/11/2002	GROUP: 2877	

REFERENCE DESIGNATION		U.S. PATENT DOCUMENTS					
Examiner Initial		Document Number	Date	Name	Class	Sub-Class	Filing Date if Approp.
AA		6,546,178	4/8/03	Jiang et al.	385	127	
AB		2003/0147612	8/7/03	Jiang et al.	385	127	
AC							
AD							
AE							
AF							
AG							
AH							
AI							
AJ							
AK							

FOREIGN PATENT DOCUMENTS							
		Document Number	Date	Country	Class	Sub-Class	Translation Yes No
AL							
AM							
AN							
AO							
AP							
AQ							

OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)	
AR	
AS	
AT	
AU	
AV	
AW	

EXAMINER: _____ DATE CONSIDERED: _____

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609: draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.